

In this paper, we briefly introduce the theory of discrete Lagrange multipliers and the discrete Lagrange-multiplier method (DLM) that can be applied to solve (2) (Shang & Wah 1998). We then show a basic implementation of DLM used in (Shang & Wah 1998) for solving SAT problems and discuss reasons why some hard instances cannot be solved. To solve those difficult instances, we propose a global-search strategy that avoids visiting nearby points visited before, using penalties related to the Hamming distances between the current and the historical points in the search trajectory. A *global-search strategy* in this paper is defined as one that can overcome local minima or valleys in the search space under consideration. It differs from global-optimization strategies in the sense that there is no theoretical guarantee that it will converge to a feasible or an optimal solution even when sufficient time is given. Finally, we show our results in solving some difficult SAT benchmark instances in the DIMACS archive and compare our results to existing results in this area.

Discrete Lagrangian Formulations

In this section, we summarize briefly the theory of discrete Lagrange multipliers (Shang & Wah 1998; Wu 1998; Wah & Wu 1999) for solving general constrained discrete optimization problems.

Define a discrete constrained optimization problem as:

$$\begin{aligned} \min_{x \in E^m} \quad & f(x) \\ \text{subject to} \quad & h(x) = 0 \quad x = (x_1, x_2, \dots, x_m), \end{aligned} \quad (3)$$

where x is a vector of m discrete variables, $f(x)$ is an objective function, and $h(x) = [h_1(x), \dots, h_n(x)]^T = 0$ is a vector of n equality constraints. The corresponding discrete Lagrangian function is defined as follows:

$$L_d(x, \lambda) = f(x) + \lambda^T h(x), \quad (4)$$

where λ is a vector of Lagrange multipliers that can be either continuous or discrete.

An understanding of gradients in continuous space shows that they define directions in a small neighborhood in which function values decrease. To this end, (Wu 1998; Wah & Wu 1999) define in discrete space a *direction of maximum potential drop (DMPD)* for $L_d(x, \lambda)$ at point x for fixed λ as a vector¹ that points from x to a neighbor of $x \in \mathcal{N}(x)$ with the minimum L_d :

$$\Delta_x L_d(x, \lambda) = \vec{v}_x = y \ominus x = (y_1 - x_1, \dots, y_n - x_n) \quad (5)$$

where

$$y \in \mathcal{N}(x) \cup \{x\} \text{ and } L_d(y, \lambda) = \min_{\substack{x' \in \mathcal{N}(x) \\ \cup \{x\}}} L_d(x', \lambda). \quad (6)$$

Here, \ominus is the vector-subtraction operator for changing x in discrete space to one of its “user-defined” neighborhood

¹To simplify our symbols, we represent points in the x space without the explicit vector notation.

points $\mathcal{N}(x)$. Intuitively, \vec{v}_x is a vector pointing from x to y , the point with the minimum L_d value among all neighboring points of x , including x itself. That is, if x itself has the minimum L_d , then $\vec{v}_x = \vec{0}$.

Based on DMPD and a concept called *saddle points* introduced in (Shang & Wah 1998), (Wu 1998; Wah & Wu 1999) prove some first-order necessary and sufficient conditions on discrete-space constrained local minima based on the minimum of the discrete Lagrangian function defined in (4). This is done by showing that the first-order conditions are necessary and sufficient for a point to be a discrete saddle point, and that the saddle-point condition is necessary and sufficient for a point to be a constrained local minimum. Readers should refer to the correctness proofs in (Wu 1998).

The first-order conditions lead to the following iterative procedure to look for solutions to (3):

General Discrete First-Order Search Method

$$x(k+1) = x(k) \oplus \Delta_x L_d(x(k), \lambda(k)) \quad (7)$$

$$\lambda(k+1) = \lambda(k) + ch(x(k)) \quad (8)$$

where \oplus is the vector-addition operator ($x \oplus y = (x_1 + y_1, \dots, x_n + y_n)$), and c is a positive real number controlling how fast the Lagrange multipliers change.

It is easy to see that the necessary condition for (7) to converge is when $h(x) = 0$, implying that x is a feasible solution to the original problem. If any of the constraints is not satisfied, then λ on the unsatisfied constraints will continue to evolve until the constraint is satisfied. Note that, similar to continuous Lagrangian methods, there is no guarantee for the first-order method to find a feasible solution in finite time, even if one exists.

Basic DLM Implementation for SAT

We describe the solution of SAT as a discrete Lagrangian search in this section. Although the overall strategy for updating Lagrange multipliers may resemble existing weight-update heuristics (Frank 1997; Morris 1993), our proposed formulation is based on a solid mathematical foundation of discrete Lagrange multipliers. The Lagrangian search, when augmented by new heuristics presented in the next section, provides a powerful tool to solve hard-to-satisfy SAT instances.

Specifically, the Lagrangian function for the SAT problem in (2) is:

$$L_d(x, \lambda) = N(x) + \sum_{i=1}^n \lambda_i U_i(x) \quad (9)$$

where $U_i(x)$ is a binary function equal to zero when the i^{th} clause is satisfied and to one otherwise, and $N(x)$ is the number of unsatisfied clauses.

Figure 1 shows a basic implementation of *discrete Lagrangian method (DLM)* of (Shang & Wah 1998). The original DLM uses two main heuristics: tabu lists (Glover 1989)

procedure DLM-98-BASIC-SAT

1. Reduce the original SAT problem;
 2. Generate a random starting point using a fixed seed;
 3. Initialize $\lambda_i \leftarrow 0$;
 4. **while** solution not found and time not used up **do**
 5. Pick $x_j \notin \text{TabuList}$ that reduces L_d the most;
 6. Maintain TabuList;
 7. Flip x_j ;
 8. **if** $\#_{FlatMoves} > \theta_1$ **then**
 9. $\lambda_i \leftarrow \lambda_i + \delta_o$;
 10. **if** $\#_{Adjust} \% \theta_2 = 0$ **then**
 11. $\lambda_i \leftarrow \lambda_i - \delta_d$ **end_if**
 12. **end_if**
 13. **end_while**
- end**

Figure 1: *DLM-98-BASIC-SAT* (Shang & Wah 1998): An implementation of the basic discrete first-order method (7) and (8) for solving SAT.

and flat moves (Selman, Kautz, & Cohen 1993). We explain the steps of DLM later when we present our proposed global-search strategy.

Table 1 lists the performance of our current implementation of DLM on a 500-MHz Pentium-III computer with Solaris 7 (from 10 randomly generated starting points) for some typical DIMACS/SATLIB benchmark problems. Due to space limitation, we only present our results for a few representative instances in each class of problems.

Although quite simple, DLM-98-BASIC-SAT can find solutions within seconds to most satisfiable DIMACS benchmarks, such as all the problems in the *aim*, *ii*, *jnh*, *par8*, and *ssa* classes, and most problems in SATLIB, like uniform 3-SAT problems *uf*, flat graph coloring *flat*, and morphed graph coloring *sw* problems. DLM-98-BASIC-SAT is either faster than, or at least comparable to, competing algorithms like GSAT and Grasp (Shang & Wah 1998). However, it has difficulty in solving problems in the *par16*-, *hanoi*-, *g*-, *f2000*- and *par32*- classes.

DLM with Global Search for SAT

Traps were identified by (Wu & Wah 1999b; 1999a) as one of the major difficulties in applying DLM to solve hard SAT instances. A *trap* is a basin in the original variables, x , of a discrete Lagrangian space in which a flip of any variable inside the trap will only cause the Lagrangian value to increase. In other words, there is no viable descents in x space through a single flip, and the trajectory is stuck until enough changes are made to the Lagrangian multipliers. Since changing the Lagrange multipliers on unsatisfied clauses changes the terrain, a trap will disappear eventually when the multipliers of unsatisfied clauses are large enough. Traps degrade the performance of DLM because many cycles are spent in updating Lagrange multipliers gradually.

A trap-escaping strategy was proposed in (Wu & Wah 1999b; 1999a) to overcome the attraction of traps in DLM. Its basic idea is to keep track of clauses appearing in traps

Table 1: Performance of DLM-98-SAT for solving some representative DIMACS/SATLIB SAT problems. All our experiments were run on a 500-MHz Pentium-III computer with Solaris 7. Among these benchmarks, the *aim*-class is on artificially generated random-3-SAT; the *ii*-class is from inductive inference; the *jnh*-class is on random SAT with variable-length clauses; the *par8*-class is for learning parity functions; the *ssa*-class is on circuit fault analysis; the *sw*-class is on “morphed” graph coloring (Gent *et al.* 1999); the *flat*-class is on “flat” graph coloring; the *uf*-class is on uniform random-3-SAT; the *ais*-class is on all-interval series; and the *logistics*-class is on logistics planning.

Problem ID	Succ Ratio	CPU Sec.	Num. of Flips
aim-200-1-6-yes1-4.cnf	10/10	0.06	29865
aim-200-2-0-yes1-4.cnf	10/10	0.33	129955
aim-200-3-4-yes1-4.cnf	10/10	0.53	98180
aim-200-6-0-yes1-4.cnf	10/10	0.02	632
ii32b4.cnf	10/10	0.12	6268
ii32c4.cnf	10/10	0.41	7506
ii32d3.cnf	10/10	0.33	8676
ii32e5.cnf	10/10	0.11	5083
jnh212.cnf	10/10	0.24	33197
jnh220.cnf	10/10	0.08	9918
jnh301.cnf	10/10	0.10	11039
par8-1.cnf	10/10	0.13	41810
par8-2.cnf	10/10	0.17	57521
par8-3.cnf	10/10	0.38	122311
par8-4.cnf	10/10	0.15	48256
par8-5.cnf	10/10	0.40	135212
ssa7552-038.cnf	10/10	0.13	16250
ssa7552-160.cnf	10/10	0.10	13742
sw100-1.cnf	10/10	0.62	117577
sw100-2.cnf	10/10	1.43	288571
sw100-3.cnf	10/10	0.97	192017
sw100-97.cnf	10/10	0.77	150486
sw100-98.cnf	10/10	1.46	295163
sw100-99.cnf	10/10	1.28	247702
sw100-100.cnf	10/10	0.47	89026
sw100-8-p0-c5.cnf	10/10	1.00	191275
flat100-1.cnf	10/10	0.36	108069
flat100-3.cnf	10/10	0.05	11072
flat100-5.cnf	10/10	0.09	23146
flat100-7.cnf	10/10	2.64	859110
flat100-9.cnf	10/10	0.06	16428
uf200-01.cnf	10/10	0.14	11810
uf200-03.cnf	10/10	0.07	1851
uf200-05.cnf	10/10	0.17	16162
uf200-07.cnf	10/10	0.13	12457
uf200-09.cnf	10/10	0.17	15005
ais10.cnf	10/10	0.23	18916
ais12.cnf	10/10	2.19	140294
ais6.cnf	10/10	0.01	416
ais8.cnf	10/10	0.07	7242
logistics-a.cnf	10/10	0.16	17427
logistics-b.cnf	10/10	0.16	18965
logistics-c.cnf	10/10	0.21	16870
logistics-d.cnf	10/10	1.65	48603

and add extra penalties to those clauses by increasing their corresponding Lagrange multipliers. Although the strategy was tested to be very helpful in overcoming traps and in finding better solutions, it only corrects the search after it gets into the same trap repeatedly.

A more general way is to avoid visiting the same set of points visited in the past. This is done by measuring the distances in x space between the current point and points visited previously in the trajectory and by penalizing the search accordingly. This strategy leads to an efficient global-search method that addresses not only the repulsion of traps in x space but also the repulsion of points visited in the past.

The new discrete Lagrangian function, including the extra *distance_penalty* term, is defined as:

$$L_d(x, \lambda) = N(x) + \sum_{i=1}^n \lambda_i U_i(x) - \text{distance_penalty}. \quad (10)$$

Distance_penalty is actually the sum of Hamming distances from the current point to some points visited in the past in the trajectory. Hence, if a trajectory is stuck in a trap, then the Hamming distances from the current point to points in the trap will be small, leading to a large penalty on the Lagrangian function (10). On the other hand, if the trajectory is not inside a trap, then the Hamming distance to points close to points visited before will still be large, thereby steering the trajectory away from regions visited before.

The exact form of *distance_penalty* is defined to be:

$$\text{distance_penalty} = \sum_i \min(\theta_t, |x - x_i^s|), \quad (11)$$

where θ_t is a positive threshold, and $|x - x_i^s|$ is the Hamming distance between point x to a point x_i^s visited before by the trajectory.

θ_t is used to control the search and put a lower bound on *distance_penalty* so that it will not be a dominant factor in the new Lagrangian function. Without θ_t , the first-order search method will prefer a far-away point than a point with less constraint violation, which is not desirable. In our experiments, we set θ_t to be 2. This means that, when the Hamming distances between the current point and all the stored historical points of a trajectory is larger than 2, the impact of all the stored historical points on *distance_penalty* will be the same. Note that the fundamental concept of *distance_penalty* is similar to that of tabu search (Glover 1989).

Ideally, we like to store all the points traversed by a trajectory in the past. This is, however, impractical in terms of memory usage and computation overhead in calculating *DMPD* for the ever-increasing Lagrangian value. In our implementation, we keep a fixed-size queue of size q_s of historical points and periodically update this queue in a FIFO manner. The period of update is based on w_s flips; namely, after w_s flips, we save the current search point in the queue and remove the oldest element from the queue.

DLM-2000-SAT

Figure 2 shows the algorithm of DLM-2000 for solving general SAT instances. In the following, we explain each line

procedure *DLM-2000-SAT*

1. Reduce the original SAT instance;
2. Generate a random starting point using a fixed seed;
3. Initialize $\lambda_i \leftarrow 0$;
4. **while** solution not found and time not used up **do**
5. Pick $x_j \notin \text{TabuList}$ that reduces L_d the most;
6. Flip x_j ;
7. **if** $\#_{\text{Flips}} \% w_s = 0$ **then**
8. Update the queue on historical points **end_if**
9. Maintain TabuList;
10. **if** $\#_{\text{FlatMoves}} > \theta_1$ **then**
11. $\lambda_i \leftarrow \lambda_i + \delta_o$;
12. **if** $\#_{\text{Adjust}} \% \theta_2 = 0$ **then**
13. $\lambda_i \leftarrow \lambda_i - \delta_d$; **end_if**;
14. **end_if**
15. **end_while**

Figure 2: Procedures *DLM-2000-SAT*, an implementation of the discrete first-order method for solving SAT problems.

of the algorithm in detail, including the various parameters and their values. In general, we need a unique set of parameters for each class of problems in order to achieve the best performance. For the around 200 instances in the DIMACS and SATLIB benchmarks, we need only five different sets of parameters.

Line 1 performs some straightforward reductions on all clauses with a single variable. For all single-variable clauses, we set the value of that variable to make that clause satisfied and propagate the assignment. For example, if a clause has just one variable x_4 , then x_4 must be true in the assignment of the solution. Reduction stops when there are no single-variable clauses.

Line 2 generates a random starting point using a fixed seed. Note that we use the long-period random-number generator of L'Ecuyer with Bays-Durham shuffle and added safeguards rather than the default generator provided in the C library in order to allow our results to be reproducible across different platforms.

Line 3 initializes λ_i (Lagrange multiplier for Clause i) to zero in order to make the experiments repeatable.

Line 4 is the main loop of our algorithm that stops when time (maximum number of flips) runs out or when a satisfiable assignment is found.

Line 5 chooses a variable x_j that will reduce L_d the most among all variables not in TabuList. If such a variable cannot be found, then it picks x_j that will not increase L_d . We call a flip a *flat move* (Selman, Kautz, & Cohen 1993) if it does not change L_d . We allow flat moves to help the trajectory explore flat regions.

Line 6 flips the x_j chosen (from false to true or vice versa). It also records the number of times the trajectory is doing flat moves.

Lines 7-8 maintain a queue on a fixed number of historical points. After a predefined number of flips, the algorithm stores the current search point in the queue and removes the

oldest historical point. Note that this queue needs to be designed carefully in order to make the whole scheme efficient. In our experiments, we choose the queue size q_s to be in the range [4, 20].

Line 9 maintains TabuList. Similar to the queue for storing historical points, TabuList is also a FIFO queue. Each time a variable is flipped, it will be put in TabuList, and the oldest element will be removed from TabuList. TabuLists are important in helping a search explore flat regions effectively.

Lines 10-11 increase the Lagrange multipliers for all unsatisfied clauses by δ_o ($= 1$) when the number of flat moves exceeds a predefined threshold θ_1 (50 for f , 16 for $par16$, 36 for g , and 16 for $hanoi4$). Note that δ_o is the same as c_1 in (8). After increasing the Lagrange multipliers of all unsatisfied clauses, we increase counter $\#_{Adjust}$ by one.

Lines 12-13 reduce the Lagrange multipliers of all clauses by δ_d ($= 1$) when $\#_{Adjust}$ reaches threshold θ_2 (12 for f , 46 for $par16$, 7 for g , and 40 for $hanoi4$). These help change the relative weights of all the clauses and may allow the trajectory to visit another region in the search space after the reduction. They are critical to our global-search strategy because they help maintain the effect of *distance_penalty* in the Lagrangian function. Their purpose is to keep the weighted constraint functions, $\lambda^T h(x)$, in the Lagrangian definition to be in a suitable range, given that *distance_penalty* has a fixed range that can be computed from w_s and θ_t . Otherwise, when λ gets too large, *distance_penalty* will be relatively small and has no serious effect in avoiding regions visited before.

Compared to DLM-99-SAT (Wu & Wah 1999b; 1999a), our proposed algorithm is simpler and has less parameters to be tuned. Note that there is more overhead in searching for a suitable variable to flip (Line 5); that is, each flip will take more CPU time than a similar flip in DLM-99-SAT. However, the overall CPU time is actually much shorter for most benchmark problems tested because the new global-search strategy can avoid visiting the same regions more effectively.

Results on Some Hard SAT Instances

We first apply DLM-2000-SAT to solve some hard, satisfiable SAT instances in the DIMACS archive. DLM-2000-SAT can now solve quickly $f2000$, $par16-1-c$ to $par16-5-c$, $par16-1$ to $par16-5$, $hanoi4$ and $hanoi4-simple$ with 100% success ratio. For other simpler problems in the DIMACS archive, DLM-2000-SAT has similar performance as the best existing method developed in the past. Due to space limitation, we will not present the details of these experiments here.

Table 2 lists the experimental results on all the hard problems solved by DLM-2000-SAT, WalkSAT, GSAT, and DLM-99-SAT. It lists the CPU times of our implementation on a 500-MHz Pentium-III computer with Solaris 7, the

Table 2: Comparison of performance of DLM-2000-SAT for solving some hard SAT instances and the g -class instances that were not solved well before (Shang & Wah 1998). (All our experiments were run on a 500-MHz Pentium-III computer with Solaris 7. WalkSAT/GSAT experiments were run on an SGI Challenge with MPIS processor, model unknown. “NR” in the table stands for “not reported.”)

Problem ID	Succ. Ratio	CPU Sec.	Num. of Flips	WalkSAT/GSAT		DLM-99-SAT
				SR	Sec.	Sec.
par16-1	10/10	101.7	$1.3 \cdot 10^7$	NR	NR	96.5
par16-2	10/10	154.0	$2.1 \cdot 10^7$	NR	NR	95.7
par16-3	10/10	76.3	$9.8 \cdot 10^6$	NR	NR	125.7
par16-4	10/10	83.7	$1.1 \cdot 10^7$	NR	NR	54.5
par16-5	10/10	121.9	$1.5 \cdot 10^7$	NR	NR	178.5
par16-1-c	10/10	20.8	2786081	NR	NR	28.8
par16-2-c	10/10	51.6	6824355	NR	NR	61.0
par16-3-c	10/10	27.5	3674644	NR	NR	35.3
par16-4-c	10/10	35.8	4825594	NR	NR	46.1
par16-5-c	10/10	32.4	4264095	NR	NR	44.6
f600	10/10	0.80	73753	NR	35*	0.664
f1000	10/10	3.21	285024	NR	1095*	3.7
f2000	10/10	19.2	1102816	NR	3255*	16.2
hanoi4	10/10	6515	$6.3 \cdot 10^8$	NR	NR	14744
hanoi4 _s	10/10	9040	$1.1 \cdot 10^9$	NR	NR	14236
g125-17	10/10	41.4	434183	7/10**	264**	144.8
g125-18	10/10	4.8	22018	10/10**	1.9**	3.98
g250-15	10/10	17.7	2437	10/10**	4.41**	12.9
g250-29	10/10	193.1	289962	9/10**	1219**	331.4
anomaly	10/10	0.00	259	NR	NR	NR
medium	10/10	0.02	1537	NR	NR	NR
huge	10/10	0.19	10320	NR	NR	NR
bw-large-a	10/10	0.10	6176	0.3***	NR	NR
bw-large-b	10/10	1.55	67946	22***	NR	1.9
bw-large-c	10/10	72.36	1375437	670***	NR	292.2
bw-large-d	10/10	146.28	1112332	937***	NR	2390

*: Results from (Selman, Kautz, & Cohen 1993) for similar but not the same problems in the DIMACS archive

** : Results from (Selman 1995)

***: Results from (Kautz & Selman 1996)

numbers of (machine-independent) flips for our algorithm to find a feasible solution, the success ratios (from multiple randomly generated starting points), the success ratios (SR) and the CPU times of WalkSAT/GSAT, and in the last column, the adjusted CPU times of DLM-99-SAT. Note that our algorithm has 100% success ratio for all the benchmark instances tested.

Table 2 also lists the results of applying DLM-2000-SAT to solve the g -class problems that were not solved well by (Shang & Wah 1998). The number of flips used for solving these problems indicate that they are much easier than problems in the $par16$ and $hanoi$ classes.

Table 3 compares our algorithm with a typical heuristic-repair method, *LSDL* (Choi, Lee, & Stuckey 1998), on hard graph coloring problems. Our algorithm outperforms *LSDL* on $g125-17$ and $g250-29$ by using 60% less CPU time. The reason that it uses more time to solve $g125-18$ and $g250-15$ is due to the complexity of maintaining a queue of historical points. Hence, for very simple problems, there is

Table 3: Comparison of performance of DLM-2000-SAT with *LSDL* (Choi, Lee, & Stuckey 1998) for solving some hard graph coloring problems. The timing results of *LSDL* were collected from a SUN Sparc classic, model unknown.

Problem ID	Succ. Ratio	CPU Sec.	Num. of Flips	<i>LSDL</i>	
				GENET	MAX
g125-17	10/10	41.4	434183	282.0*	192.0*
g125-18	10/10	4.8	22018	4.5	1.1
g250-15	10/10	17.7	2437	0.418	0.328
g250-29	10/10	193.1	289962	876.0*	678.0*

*: Calculated from CPU times reported in minutes (Choi, Lee, & Stuckey 1998).

Table 4: Comparison of performance of DLM-2000-SAT with GRASP (Marques-Silva & Sakalla 1999) on some typical DIMACS benchmarks. The timing results of GRASP were collected from a SUN SPARC 5/85 computer. ‘-’ stands for ‘not solved’.

Problem Class	Succ. Ratio	CPU Sec.	Num. of Flips	GRASP Sec.
f-	10/10	7.7*	487198*	-
g-	10/10	64.3*	187150*	-
par8-	10/10	0.25**	81022**	0.4
par16-	10/10	108*	$1.4 \cdot 10^7$ *	9844
hanoi-	10/10	7778*	$8.7 \cdot 10^8$ *	14480

*: Averages computed using values in Table 2

** : Averages computed using values in Table 1

no apparent advantage of using our proposed global-search strategy.

Table 4 compares our method to a well-known backtracking and search-pruning algorithm (Marques-Silva & Sakalla 1999). Since this is a complete method that can prove unsatisfiability, it performs much slower than our proposed global-search method.

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