A Domain-Independent Algorithm for Multi-Plan Adaptation and Merging in Least-Commitment Planners

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Abstract
Solving problems in many real-world domains requires integrating knowledge from several past experiences. This integration requires the dynamic retrieval of multiple experiences and the extraction of their relevant subparts. Our solution is the Multi-Plan Adaptor (MPA), a method for merging partial-order plans in the context of case-based least-commitment planning. MPA provides this ability by extracting an intermediate goal statement from a partial plan, clipping a stored plan to the intermediate goal statement, and then splicing the clipping into the original partial plan. MPA is implemented in the NICOLE multistrategy reasoning system, where it is paired with MOORE, an asynchronous, resource-bounded memory module. MOORE initially retrieves its current "best guess" but continues search, spontaneously returning a better retrieval as soon as it is found.

1. Introduction
Taking advantage of past experiences is the foundation of case-based reasoning. When confronted with a problem, a case-based reasoner recalls a past experience and adapts it to provide the solution to the new problem. Unfortunately, in many real-world domains we cannot count on a single past experience to provide the outline of a solution to our problems. For example:

- A graduate student asked to present his first paper at an overseas conference must draw on separate past experiences in preparing talks for conferences within his country and preparing his passport and flight arrangements for vacations outside of his country.
- A host planning his first large dinner party must recall both the outline of a menu as served at family gatherings and his separate experiences at preparing individual dishes for himself.
- A home hobbyist attempting his first large piece of furniture must recall both past examples of that type of furniture to provide a design and experiences with acquiring, assembling and finishing individual components.

All of these problems have something in common: every piece of the solution can be constructed entirely out of the agent's past experience (with suitable adaptation), but no single past experience suffices to solve the entire problem. For these types of problems, unless a case-based reasoning system has the ability to combine several past experiences, it will have to resort to expensive from-scratch reasoning in order to solve the problem.

Some CBR planning systems combine multiple cases during reasoning. However, they either gather all partial plans at retrieval prior to adaptation (e.g., PRODIGY/ANALOGY, Veloso 1994), or break plans into snippets at storage time so they can be retrieved individually (e.g., CELIA, Redmond 1990, 1992). Neither of these approaches is entirely satisfactory, for various reasons.

It is not entirely clear that all of the knowledge needed to solve a problem can be assembled at the beginning of problem solving. For example, in the furniture example, it is not entirely clear whether or not the agent needs to buy new sandpaper, and hence unclear whether the agent should recall past experiences of buying sandpaper at a hardware store. This uncertainty arises out of several concerns: the uncertainty of the world state (how much sandpaper does the agent have?), uncertainty in the effectiveness of agent actions (how much wood will a piece of sandpaper sand?) and the potential of exogenous events that can invalidate parts of the plan (if a friend drops and scars a piece of the furniture, will the agent have enough sandpaper to remove the scar, or will he need to buy more?). But it can also arise out of the plan itself: until the agent has decided on a design for the piece and how much wood will be involved, it is unclear precisely how much sandpaper is needed, and hence unclear whether or not a plan should be retrieved. If some amount of sandpaper is on hand, the goal of acquiring sandpaper may not even arise until late in the planning process, when it has become clear that the amount on hand is insufficient.

Precomputing case snippets also has drawbacks. While this allows us to extract subparts of a case to meet the needs of a component of a plan, these subcomponents need to be computed at storage time. Unfortunately, it is not clear that every useful breakdown of a case can be computed in advance. For example, in the foreign conference example, the two past experiences must be closely interleaved to produce a new plan. If the agent has not stored the passport experience as a separate snippet, the agent may not be

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able to extract that particular piece of a case if it is retrieved — if the agent was able to retrieve it at all.

What is needed is a method that allows the merging of arbitrary numbers of plans at any point during the adaptation process, and which allows the dynamic extraction of relevant case subparts. Our solution is the Multi-Plan Adaptor (MPA), a novel method for merging partial-order plans in the context of case-based least-commitment planning. The MPA algorithm builds on the Systematic Plan Adaptor (SPA; Hanks & Weld 1994), a case-based least-commitment planner that annotates partially ordered plans with dependency structures to allow later refitting and adaptation. SPA shows significant improvements over generative planning, but can only adapt one plan at a time and hence for the types of problems described above must resort to significant amounts of from-scratch planning.

MPA overcomes this limitation of SPA by extracting an intermediate goal statement from a partial plan, clipping a stored plan to the intermediate goal statement, and then splicing the clipping into the original partial plan. Depending on the size of the plans spliced and the retrieval algorithms used, MPA can produce significant speedups over SPA.

Because the cost of retrieval can potentially outweigh the benefits of adaptation in an interleaved system, developing heuristics for deciding when to retrieve is a challenging problem. Our current implementation of MPA in the multistrategy reasoning system NICOLE uses an asynchronous, resource-bounded memory module called MOORE that initially retrieves its current “best guess” but continues to search, spontaneously returning a better retrieval as soon as it is found.

In this paper, we briefly review least-commitment planning and how it can be used for adaptation. We then present the MPA algorithm, describe its foundations and extensions of the SPA algorithm, and then detail how MPA can be used to address the limitations of existing multi-plan systems. We then discuss how MPA can be integrated into various control regimes, including systematic, pure case-based, and interleaved regimes, and describe our implementation of interleaved MPA in the NICOLE system. We conclude the paper by reviewing other case-based planning work and then outline our contributions in the appendix.

2. Least Commitment Planning and Systematic Plan Adaptation

2.1. Least Commitment Planning: SNLP

Least-commitment planning departs from traditional planning systems by delaying decisions about step orderings and bindings as much as possible to prevent backtracking (Weld 1994). Depending on the domain and search strategy, least-commitment planning can lead to substantial improvements over traditional totally ordered plans (Barret & Weld 1994; but for an alternative view see Minton et al 1994, Veloso & Blythe 1994, Veloso & Stone 1995).
2.3. Limits of SPA: Achieving Systematicity and Completeness

One limitation of SPA is that it is a single-plan adaptor; even if a new problem could be solved by merging several plans, SPA must choose only one and adapt it to fit. This limitation arises from SPA’s attempt to maintain systematicity and completeness. A systematic planner never repeats its work by considering a partial plan more than once; a complete planner is guaranteed to find a solution if it exists.

SPA’s generative predecessor SNLP achieves both of these properties through the clever design of its refinement algorithm. When SNLP removes a plan from the search frontier, it selects a refinement to apply and then replaces the plan with all possible instantiations of the refinement. Since this process consumes refinements and refinements are never retracted, duplicate partial plans are never generated; since all possible refinements are generated, the planner is guaranteed to not miss a solution.

In an adaptive planner like SPA, the picture is complicated by the presence of retraction operators. SPA ensures that the refinement and retraction operators never undo each other’s work by marking each plan in the frontier with a direction. SPA begins adaptation by placing the retrieved plan onto its search frontier twice, marked once for refinement and once for retraction. The plan marked for refinement is treated precisely in the same way as in SNLP: all of its possible children are computed and placed on the frontier.

The copy of the plan marked for retraction, however, is treated differently. First, a refinement in the plan is chosen and retracted. This retracted plan is placed on the frontier and is marked for further retraction. Then, all of the alternative decisions that the planner might have made in place of the retracted refinement are generated, and these siblings are placed on the frontier marked for refinement. This retraction-refinement process achieves systematicity by ensuring that the only decisions being retracted are ones that were part of the original partial plan in the first place; it achieves completeness by ensuring that all of the alternative decisions are considered.

Unfortunately, the desire to maintain these properties effectively bars SPA from incorporating additional partial plans during problem solving. Because a plan contains a set of refinements, SPA cannot simply remove a plan from the frontier and splice a new retrieved plan into it without losing its completeness guarantee; nor can it leave both the original and adapted plans on the frontier in an attempt to maintain completeness without running the risk of re-generating the adapted plan, hence violating systematicity. To maintain both properties, all of the siblings of each refinement spliced into the plan must also be added to the frontier — a workable but potentially costly solution.
performs this extraction dynamically, removing portions of the plan that are not causally relevant to the intermediate goal statement.

Intermediate goal statements are extracted by the inverse of the representational trick with which SNLP constructs its initial skeletal plans. Recall that SNLP builds the initial plan it considers by adding dummy initial and final steps whose post- and pre-conditions match the initial and goal conditions of the problem. As planning proceeds, open conditions in the goal statement are resolved, but new open conditions are posted as new steps are added. These open conditions themselves can be extracted from the plan to form a new goal statement. Similarly, the initial conditions of the partial plan can be extracted and used as a new set of initial conditions.\(^1\)

Just like the original goal statement, the intermediate goal statement can be used to retrieve and fit a partial plan. However, the result of this process is not a complete fitted plan suitable for adaptation; it is a plan clipping that satisfies some or all of the open conditions of the partial plan from which the intermediate goal statement was derived.

To take advantage of the plan clipping for adaptation, it must be spliced into the original partial plan (Figure 3). Our splicing mechanism uses the intermediate goal statement to produce a mapping between the partial plan and the plan clipping, pairing open conditions from the partial plan with satisfied goal conditions from the plan clipping. The plan splicer uses this mapping to perform a guided refinement of the original partial plan, selecting goal conditions from the clipping and using the links and steps that satisfied them as templates to instantiate similar steps and links in the original plan.

\[\text{Input: A partial plan } P, \text{ and a case library } C.\]
\[\text{Output: A new partial plan } P'.\]

\[
\text{procedure MPA} (P,C): \\
1. \hspace{1em} P' \leftarrow \text{Copy-Plan}(P) \\
2. \hspace{1em} igs \leftarrow \text{GetIntermediateGoalStatement}(P) \\
3. \hspace{1em} plan \leftarrow \text{RetrieveBestPlan} (C, igs) \\
4. \hspace{1em} (\text{clipping, mapping}) \leftarrow \text{FitPlan} (\text{plan}, igs) \\
5. \hspace{1em} \text{for each in mapping do} \\
6. \hspace{2em} \text{if Producer-Exists (oc-gl-pair, } P') \hspace{2em} \text{then} \\
7. \hspace{3em} \text{Splice-Link (oc-gl-pair, } P', \text{ clipping)} \\
8. \hspace{3em} \text{else Splice-Step (oc-gl-pair, } P', \text{ clipping)} \\
9. \hspace{2em} \text{AddNewOpenCond-GoalPairs (mapping, } P') \\
10. \text{return } P'
\]

\[\text{Figure 4. Outline of The MPA Algorithm}\]

\(^1\)Unfortunately, since ordering constraints and binding constraints may be posted to the plan at any time, only the initial conditions can be guaranteed to be valid conditions for the intermediate goal statement. Conditions established by other steps of the plan may be clobbered by the addition of new steps and new ordering constraints. However, it might be possible to develop heuristics that select additional initial conditions that are likely to hold, perhaps in conjunction with more complex retrieval, fitting and splicing algorithms. In general, deciding which parts of a plan can be extracted to form a sensible and effective intermediate goal statement is a difficult and unsolved problem.
As these steps are added, new mappings are established between open conditions in the new steps and satisfied preconditions in the clipping and are added to the queue of mappings that the splicer is processing. Hence, the plan splicer performs a backwards breadth-first search through the causal structure of the plan clipping, using links and steps in the clipping to guide the instantiation of links and steps in the original plan. Figure 4 briefly outlines the MPA algorithm.

### 3.2. The Efficiency of Plan Splicing

Both adapting a single partial plan and adapting merged partial plans can produce significant benefits over generative problem solving. The cost of generative planning is exponential in the size of the final plan produced, whereas fitting a plan is a linear operation in the size of the plan. Hence, the potential exists for substantial improvement through retrieval and adaptation if an appropriate past plan exists, especially for large plans. In certain domains, SPA has demonstrated significant improvements over generative planning. However, if large gaps exist in the retrieved partial plan, SPA must resort to adaptation, which, like generative problem solving, has an exponential cost in the number of steps that need to be added.

While this amount of adaptation may be a significant improvement over complete from-scratch problem solving, the potential exists to reduce that even further by using MPA to clip and splice more partial plans. Fitting a clipping and splicing a clipping are linear operations in the size of the plan being spliced. Hence, the potential exists for substantial improvement through plan merging if an appropriate past plan exists, especially if the gaps in the existing plan are large. An initial implementation of MPA for a test domain indicated significant speedups (beginning at 30%) over SPA for even the smallest examples (solution size of the final plan = 5 steps).

However, both plan adaptation and plan merging require the retrieval of a past plan, and that retrieval cost may offset the benefits of adaptation or merging. For SPA, retrieval costs are incurred once, before adaptation begins, and hence it is simple to determine whether the cost of retrieval will be offset by the benefits of adaptation. For MPA, the picture is not so simple. MPA allows plan merging at any point during adaptation, and hence it is not clear from the MPA algorithm itself when retrieval will be performed, how many retrievals will be performed, and hence whether those costs will be offset by the improvements in problem solving. In order to determine this, we must embed MPA within a control regime that determines when and how often retrieval will be performed before we can precisely specify the benefits of multi-plan adaptation.

### 4. Controlling Plan Splicing

Merely having the ability to splice plans together does not allow us to take advantage of past experience. We need to decide what experiences to combine and when to combine them. Because the MPA algorithm can potentially be performed at any point during the adaptation process — using an initial skeletal plan derived from the initial and goal statement, using a fitted plan derived from retrieval, or using an adapted plan after some arbitrary amount of retraction and refinement — we have considerable flexibility in deciding what to retrieve, when to retrieve it and when to merge it.

We have considered three alternative control regimes, each of which makes different commitments about when to retrieve and when to adapt. On one end of the spectrum, Systematic MPA preserves SPA’s property of systematicity by splicing all retrieved cases before adaptation begins. On the other, Extreme MPA never performs (generative) adaptation and instead uses a set of pivotal cases (Smyth & Keane 1995) to guarantee completeness.

Both Systematic and Extreme MPA make extreme commitments: either integrate all knowledge before adaptation begins, or never adapt and rely solely on past experience. An alternative approach is to allow plan splicing at any point during adaptation. In the middle stands Interactive MPA (MPA-I), a system that can potentially attempt a retrieval at any time, either with the initial skeletal plan or with partial plans produced as a result of adaptation. Since the results of splicing cause large jumps in the search space, the system deliberately departs from the systematicity of SNLP and SPA in an attempt to solve the problem with less search.

However, allowing arbitrary plan retrieval and plan splicing is not without cost. Performing a full search of the system’s case library at every step of the problem space could be computationally prohibitive. The costs of searching the case library at every step of the problem space could outweigh the benefits of reduced search, especially if the system enters a “slump” — an adaptation episode which begins and ends with the application of relevant clippings, but which goes through a series of intermediate plans for which the system cannot match any existing plans in its case library. Clearly, it is worthwhile to retrieve and apply clippings at the beginning and end of a slump, but a full search of the case library at each intermediate step could cost more than the benefits that the initial and final retrievals provide. This is the swamping utility problem — the benefits of case retrieval can be outweighed by the costs of that retrieval, leading to an overall degradation in performance as a result of case learning (Francis & Ram 1995).

Developing heuristics for deciding when and when not to retrieve is a challenging open problem. To solve this problem, we have implemented a multistrategy reasoning system called NICOLE which pairs MPA with an asynchronous, resource-bounded memory module called MOORE. In NICOLE, MPA and MOORE share a central blackboard. When MPA requests a partial plan, MOORE returns its current best guess. However, the retrieval request remains active, and as the MPA adapts
the plan the new partial plans it constructs provide additional cues for MOORE to attempt further retrievals. When MOORE finds a new past case whose degree of match exceeds a certain threshold, it signals MPA, which splices it into the appropriate partial plan.

5. Related Work

There are wide bodies of work on both least-commitment planning and case-based reasoning. The most relevant example of that work to this research is of course SPA, upon which MPA builds. Other similar plan reuse systems include PRIAR (Kambhampati & Hendler 1992) an SPA-like system based on NONLIN, and XII (Golden et al 1994), an SPA-like system that plans with incomplete information. Hanks and Weld (1995) discuss these and other plan reuse systems from the perspective of the SPA framework.

MPA’s plan splicing mechanism is in many ways similar to DERSNLP (Ihrig & Kambhampati 1994), a derivational analogy system built on top of SNLP that uses eager replay to guide a partial order planner. While DERSNLP’s eager replay mechanism is in some ways similar to a limiting case of Systematic MPA in which a single plan is retrieved and spliced into a skeletal plan derived from an initial problem statement, DERSNLP goes beyond SPA’s reason mechanism and includes a full derivational trace of problem solving in its cases. While DERSNLP and its extension DERSNLP-EBL focus on when it is profitable to retrieve a partial plan, unlike MPA-I they do not provide the capability of interrupting adaptation as a result of an asynchronous memory retrieval, nor do they provide the ability to integrate the results of multiple plans.

Combining multiple plans in case-based reasoning is not a new idea. The PRODIGY/ANALOGY system (Veloso 1994) can retrieve and merge the results of an arbitrary number of totally ordered plans during the derivational analogy process. However, because PRODIGY/ANALOGY manipulates and stores totally ordered plans, it runs into significant issues on deciding how to interleave steps (Veloso 1994, p124-127), an issue MPA avoids because of its least-commitment heritage. Furthermore, PRODIGY/ANALOGY deliberately limits its capability to retrieve and combine cases on the fly in an attempt to reduce retrieval costs.

The JULIA system (Hinrichs 1992) also has the ability to combine pieces of several past cases, but this is largely a domain-dependent algorithm for merging declarative structures, rather than a domain independent planning system. The CELIA system (Redmond 1990, 1992) stores cases as separate snippets, case subcomponents organized around a single goal or set of conjunctive goals. Snippets provide CELIA with the ability to retrieve and identify relevant subparts of a past case based on the system’s current goals. Note that while snippets are superficially similar to plan clippings, plan clippings are constructed dynamically during problem solving, whereas snippets need to be computed and stored in advance.

Clippings are similar to macro operators (Fikes et al. 1972) in that they use past experience to combine several problem solving steps into a single structure that can be applied as a unit, allowing the system to make large jumps in the problem space and avoid unnecessary search. However, macro operators differ from clippings in two important ways. First, macro operators are traditionally precomputed at storage time, whereas clippings are computed dynamically; second, macro operators are fixed sequences of operators, whereas clippings are partially ordered sets of operators that may be resolved in a wide variety of ways in the final plan.

Kambhampati & Chen (1993) built and compared several systems that retrieve partially ordered case-like "macro operators". They demonstrated that least-commitment planners could take greater advantage of past experience than totally-ordered planners because of their ability to efficiently interleave new steps into these "macro-operators" during planning. While this work focuses primarily on interleaving new steps into single past plans, the explanations the authors advance for the efficiency gains they detected could be extended to suggest that least-commitment planners would be superior to totally-ordered planners when interleaving multiple plans. The completed MPA-I system should provide us a testbed with which we can empirically evaluate this hypothesis.

6. Conclusion

We have presented the Multi-Plan Adaptor, an algorithm that allows a case-based least-commitment planner to take advantage of the benefits of several past experiences. MPA provides the ability to retrieve and merge dynamically selected case components at any point during the adaptation process by extracting an intermediate goal statement from a partial plan, using the intermediate goal statement to retrieve and clip a past plan to the partial plan, and then splicing the clipping into the original partial plan.

Multi-plan adaptation has the potential for substantial speedup over single-plan adaptation, but in order for those benefits to be realized MPA must be embedded within a control regime that decides when the system attempts a retrieval, when the system merges, and when the system resorts to adaptation. We have used the NICOLE multistrategy reasoning system to implement an interactive algorithm called MPA-I which allows the retrieval of past cases at any point during adaptation.

To cope with the potentially swamping cost of retrieval at every adaptation step, MPA-I is currently paired with an asynchronous, resource-bounded memory module called MOORE that retrieves a “best guess” and then continues to monitor the progress of adaptation, returning a new or better retrieval as soon as it is found.

Appendix: Describing the Contributions

A. Reasoning Framework:

1. What is the reasoning framework?
1. What hypotheses were explored?
   - For a certain class of domains and problems, multi-plan adaptation will be more effective at reducing search than single-plan adaptation.

2. What type of adaptation?
   - Adaptation of past problem solving cases can eliminate vast amounts of search and cause significant speedups in problem solving.

3. What are the specific benefits and limitations of your approach?
   - Our approach can provide improvement over from-scratch problem solving and single-case adaptation. However, it is currently limited to merging problem-solving cases or other cases with a causal structure, and all planning and learning algorithms are sensitive to domain characteristics.

4. What invariants does it guarantee?
   - When the domain affords the use of past problem solving experiences and relevant experiences are available, this method can reduce the number of states visited in the search space.

5. What are the primary contributions of your research?
   - Test cases were used to evaluate the algorithm to verify that they provided improvements. For these problems, we empirically tested problem solving methods (generative, single-plan, and multi-plan) against problem-solving time. This work is preliminary; a more complete evaluation is in progress.

References


