An Improved Algorithm for Learning to Perform Exception-Tolerant Abduction

Mengxue Zhang, Tushar Mathew, and Brendan Juba
Washington University in St. Louis
1 Brookings Drive
St. Louis, MO, 63130 USA
mengxuezhang@wustl.edu, tusharmathew@gmail.com, bjuba@wustl.edu

Abstract

Inference from an observed or hypothesized condition to a plausible cause or explanation for this condition is known as abduction. For many tasks, the acquisition of the necessary knowledge by machine learning has been widely found to be highly effective. However, the semantics of learned knowledge are weaker than the usual classical semantics, and this necessitates new formulations of many tasks. We focus on a recently introduced formulation of the abductive inference task that is thus adapted to the semantics of machine learning. A key problem is that we cannot expect that our causes or explanations will be perfect, and they must tolerate some error due to the world being more complicated than our formalization allows. This is a version of the qualification problem, and in machine learning, this is known as agnostic learning. In the work by Juba that introduced the task of learning to make abductive inferences, an algorithm is given for producing $k$-DNF explanations that tolerates such exceptions: if the best possible $k$-DNF explanation fails to justify the condition with probability $\epsilon$, then the algorithm is promised to find a $k$-DNF explanation that fails to justify the condition with probability at most $O(n^k \epsilon)$, where $n$ is the number of propositional attributes used to describe the domain. Here, we present an improved algorithm for this task. When the best $k$-DNF fails with probability $\epsilon$, our algorithm finds a $k$-DNF that fails with probability at most $\tilde{O}(\sqrt{n^k \epsilon})$ (i.e., suppressing logarithmic factors in $n$ and $1/\epsilon$). We also examine the empirical advantage of this new algorithm over the previous algorithm in two test domains, one of explaining conditions generated by a “noisy” $k$-DNF rule, and another of explaining conditions that are actually generated by a linear threshold rule.

Introduction

Abductive reasoning is the process of inferring a reasonable explanation for an observation or hypothetical situation. For example, suppose a man walks into a hotel and his clothing is wet. We may naturally assume that it is raining outside. This might not be true, and his clothing may have gotten wet some other way, but it is the most reasonable explanation of the given facts. Abduction is powerful—in enabling us to find hidden explanation of events, it furthermore enables us to generate new theories. Abductive reasoning can be applied in diverse problems, such as image understanding (Cox and Pietrzykowski 1986; Poole 1990), natural language understanding (Hobbs et al. 1990), plan recognition (Charniak and McDermott 1985), and so on.

Although most early work on abduction relied on explicit knowledge engineering to capture the domains in which such inference was to be performed, much knowledge engineering has been replaced by machine learning. The reasons can be explained roughly as follows: the main lessons of the CYC project (Lenat 1995) were that (i) the scope of knowledge needed to support ordinary human inferences is vast, and would take many decades to formalize in its entirety and (ii) such a large knowledge engineering effort seems to inevitably suffer from semantic drift and consequently, brittleness. Machine learning is a means to circumvent both of these problems. The price of using learned knowledge is that its semantics are inevitably weaker than those of classical knowledge. So, while these weaker semantics may grant us some additional robustness (as has been argued, for example, by Valiant (2000a; 2000b)), they also require us to reconsider the foundations of the various tasks we wish to perform. A surprising benefit of this exercise is that it turns out that a combined learning and reasoning task may be easier than either of its constituent parts: Khardon and Roth (1997) demonstrated that algorithms for such combined tasks may efficiently learn and reason with representations that would be intractable to learn or reason about using standalone algorithms. Motivated by these advantages, we will likewise consider a combined learning and abductive reasoning task.

In particular, we focus on a new formulation of abductive reasoning introduced by Juba (2016) based on PAC-learning (Valiant 1984). In this model, learning is accomplished using examples that consist of settings of each of the various Boolean attributes. For example, if our domain is reasoning about people, then our attributes may include “female” (yes or no), “male” (yes or no), “brown hair” (yes or no), “taller than 1.5m” (yes or no) and so on. Each example corresponds to a person, and consists of a setting of all of these attributes. All of the examples contain the same attributes, but they may be set differently. In the model, these examples are drawn from an arbitrary distribution $D$ over $\{0, 1\}^n$ (for our $n$ attributes). The abduction task is then, given a condition that we wish to explain, that is captured
by a Boolean formula \( c \), to use these examples to find a hypothesis formula \( h \) that explains the condition in the following sense. \( h \) should (approximately) entail \( c \), that is, when \( h \) is true, \( c \) should almost always also be true; and, \( h \) itself should be true as often as possible, i.e., we wish to find the most likely such \( h \). For example, the query might indicate whether or not the stated facts of the story hold in a specific example, while \( h \) is some other (most likely) condition that yields the given condition.

A key problem with such formalizations is that we cannot expect our explanations to be perfect. Those explanations must tolerate some errors—situations where the explanation should hold, but the condition in question fails to materialize—due to our failure to model the real world in every last detail. This is essentially a variant of the qualification problem (McCarthy 1980). In the work by Juba that introduced this task, an algorithm is given for producing \( k \)-DNF explanations that features some tolerance to such exceptions: if, under the best possible \( k \)-DNF explanation, the condition fails to materialize with probability \( \epsilon \), then the algorithm is promised to find a \( k \)-DNF explanation under which the condition only fails to materialize with probability at most \( \epsilon \).

In this work, we introduce an improved algorithm for this task which finds a \( k \)-DNF explanation that fails with probability at most \( O(\sqrt{n^k \epsilon}) \). Our algorithm is an extension of an earlier algorithm by Peleg (2007) for the closely related “Red-Blue Set Cover” problem (Carr et al. 2000). Roughly, in such a problem, we are given a collection of sets that we wish to use to cover all of the “blue” elements while covering as few “red” elements as possible. The correspondence then, is that we assign every example a blue element, while assigning examples in which the desired condition fails to hold a “red” element, and take the possible terms of size \( k \) as many blue elements as possible while covering as few red elements as possible—the main difference is that we no longer require covering all of the blue elements. More precisely, in the variant that is relevant to us, we are given a target fraction \( \mu \) of the blue elements to cover (less than 1), and we seek to minimize the ratio of red-to-blue elements we cover in meeting this objective. Thus our task is actually also distinct from the “positive-negative partial set cover” problem studied by Miettinen (2008), in which one wishes to minimize the sum of the number of negative (red) elements covered and the number of positive (blue) elements uncovered.

At the heart of Peleg’s algorithm is an approximation algorithm for the weighted set cover problem; in our extension, this standard weighted set cover problem is instead a partial set cover problem. Slavik (1997) had already shown that the greedy algorithm achieves the same approximation ratio for such a variant of weighted set cover, so we are able to easily complete the rest of the analysis after this modification. The resulting algorithm increases the error by a factor, where again \( \epsilon \) is the error rate achieved by the best explanation that is true with probability at least the target \( \mu \), and \( \delta \) is the probability that we fail on account of drawing an unrepresentative set of examples.

We also investigate the empirical advantage of this new algorithm over the previous, “Tolerant Elimination” algorithm considered by Juba. We consider two test domains. In the first domain, there is a “planted” \( k \)-DNF rule that is used to define the condition, subject to some independent random noise. Thus, in this case, we have a good sense of what the ideal error rate should be. We find that both algorithms perform well at this simple task. In the second domain, the condition is actually defined by a (random) linear threshold rule. We know that in general, such linear threshold rules cannot be approximated well by a \( k \)-DNF, and so this domain exercises the algorithms’ ability to tolerate errors that are due to the actual condition being too complex for our formalism to capture. We find that Tolerant Elimination completely fails at this task, never achieving an error rate lower than the trivial rule that is always satisfied, whereas our new algorithm is able to identify rules that are satisfied with controllable probabilities, that achieve substantially lower error rates.

**The Partial Red-Blue Set Cover Problem**

In this section, we introduce the Partial Red-Blue Set Cover Problem, a natural variant of Red-Blue Set Cover. We will show how an algorithm by Peleg (2007) for Red-Blue Set Cover can be adapted to solve this new problem. In the following section, we will then explain how this problem can be used to perform expectation-tolerant abduction.

**Statement of the Partial Red-Blue Set Cover Problem**

Consider a finite universe \( U \) comprised of two disjoint sets, of red elements \( R \) and blue elements \( B \). We let \( \beta \) denote the number of blue elements. We suppose that we are given a collection \( S \) of \( d \) sets \( S_1, \ldots, S_d \) that are subsets of \( U \).

For any sub-collection \( S' \subseteq S \), let \( U(S') \) denote the union of all elements in \( S' \), \( B(S') \) denote \( U(S') \cap R \), and \( R(S') \) denote \( U(S') \cap R \). The goal is to choose a \( S' \subseteq S \) that covers at least \( \mu \) fraction of all the elements of \( B \) while minimizing \( |R(S')|/|B(S')| \), i.e., the number of red elements in \( S' \) relative to the number of blue elements.

**An algorithm for Partial Red-Blue Set Cover**

We begin by defining some more useful notation. Let \( deg(r_i, S) \) denote the number of sets in \( S \) that contain the red element \( r_i \). Let \( \Delta(S) = \max \{ deg(r_i, S) : r_i \in R \} \). Denote the result of deleting elements of \( R' \) from \( S_i \) by \( \phi(S_i, R') = S_i \setminus R' \) and let \( \phi(S, R') = \{ \phi(S_i, R') : S_i \in S \} \). For any set \( S_i \) and \( S \) let \( r(S_i) = |R(S_i)| \) and for every sub-collection \( S' \subseteq S \), let \( r(S') = |R(S')| \).

The algorithm for approximate weighted set cover as a subroutine. Our main modification will be to replace this subroutine with
a (modified) algorithm for approximate weighted partial set cover; Slavík established that a greedy algorithm for partial set cover achieves the same approximation ratio as for the original problem. We modify his algorithm slightly to optimize the ratio of the costs to number of elements covered (Algorithm 1). Precisely:

**Algorithm 1 Partial Greedy Algorithm**

**Input:** finite set $\mathcal{T} = \{T_1, \ldots, T_d\}$, costs $\{c_1, \ldots, c_d\}, \mu \in (0,1]$

**Output:** $\mu$-partial cover solution set $\hat{T}$

**Procedure:**
1. Set $\hat{T} = \emptyset$
2. If $r = \mu \beta - \left| \bigcup_{i \in \hat{T}} T_i \right| \leq 0$, then STOP and output $\hat{T}$
3. Choose the first $T_i \in \mathcal{T} \setminus \hat{T}$ that minimizes $c_i/|T_i|$, for $\mu \ni T \setminus \hat{T}$ and $T_i \neq \emptyset$.
4. Add $T_i$ to $\hat{T}$, set $\tilde{T} = T_i \setminus T_i$, and return to step 2.

**Theorem 1** Let $\mathcal{T}$ be a collection of sets $T_1 \ldots, T_d$ on a universe $V$ with corresponding weights $\omega(T_1), \ldots, \omega(T_d)$. Suppose that there is a sub-collection $\mathcal{T}' \subseteq \mathcal{T}$ such that $T' = \bigcup_{T \in \mathcal{T}'} T$ contains at least $\mu|V|$ distinct elements and $\sum_{T \in \mathcal{T}'} \omega(T) = \omega(T')$. Then Algorithm 1 finds a sub-collection $\hat{T}$ such that $\bigcup_{T \in \hat{T}} T$ also contains at least $\mu|V|$ elements and $\left| \bigcup_{T \in \hat{T}} T \right| \leq 3H(\mu|V|) \cdot \omega(T')/\omega(T')$.

We sketch the proof of Theorem 1 in the appendix. Now, we modify Peleg’s subroutine Greedy_RB to use Algorithm 1 instead of the standard greedy algorithm, obtaining Algorithm 2.

**Algorithm 2 Greedy partial RB**

**Input:** finite set $\mathcal{S} = \{S_1, \ldots, S_d\}, \mu \in (0,1]$ 

**Output:** $\mu$-partial cover solution $\hat{S}$

**Procedure:**
1. Modify $\mathcal{S}$ into an instance $\mathcal{T}$ of the weighted set cover problem as follows: (a) Take $\mathcal{T} = \phi(\mathcal{S}, R)$ (b) Assign each set $T_i = \phi(S_i, R)$ in $\mathcal{T}$ a weight $\omega(T_i) = r(S_i)$
2. Apply Algorithm 1 for weighted partial set cover to $\mathcal{T}$ and generate a cover $\hat{T}$
3. Get the corresponding collections as a set of solutions $\hat{S} = \{S_i : T_i \in \hat{T}\}$

**Lemma 2** Algorithm Greedy partial RB yields an approximation ratio of $\Delta(S) \cdot 3H(\mu\beta)$

We will use the following lemma from Peleg:

**Lemma 3** (Peleg 2007, Lemma 3.1) For any collection $\mathcal{S}' \subseteq \mathcal{S}$ and the corresponding instance $\mathcal{T}' = \phi(\mathcal{S}', R)$ of the weighted set cover problem $r(S') \leq \omega(T') \leq \Delta(S) \cdot r(S')$

The proof is now very similar to that of the analogous lemma, Lemma 3.2 used by Peleg:

**Proof of Lemma 2:** Let any minimum-weight set cover $T'$ of $\mathcal{T}$ be given. Consider any optimal cover $S^* \subseteq S$ that covers $\mu\beta$ blue elements and put $T^* = \phi(S^*, R)$. Since, by Theorem 1, Algorithm 1 yields a $3H(\mu\beta)$-approximation ratio for the weighted partial set cover problem, we then have that the solution returned by Algorithm 1 satisfies $\omega(T^*) \leq 3H(\mu\beta) \cdot \omega(T')$. Lemma 3 then gives $r(S) \leq \omega(T)$. And, since $T'$ is an optimal partial cover of $T$, $\omega(T') \leq \omega(T^*)$. In summary, so far we have

$$r(S) \leq \omega(T^*) \leq 3H(\mu\beta) \cdot \omega(T') \leq 3H(\mu\beta) \cdot \omega(T^*).$$

Now, Lemma 3 gives

$$3H(\mu\beta) \cdot \omega(T^*) \leq 3H(\mu\beta) \cdot \Delta(S) \cdot r(S^*)$$

completing the proof.

We next modify the body of Peleg’s main algorithm, Low_Deg in the natural way to obtain our final algorithm, (1) replacing the use of Greedy_RB with Algorithm 2, (2) checking that the family may possibly admit a sufficiently large partial covering after computing $S_X$, and (3) computing our notion of (relative) error rate rather than just the number of red elements.

**Algorithm 3 Low Deg Partial(X)**

**Input:** finite set $\mathcal{S} = \{S_1, \ldots, S_d\}, \mu \in (0,1]$ integer $X$

**Output:** $\mu$-partial cover solution $\hat{S}_X$ and corresponding error rate $\hat{\epsilon}$

**Procedure:**
1. Discard sets in $\mathcal{S}$ that contain more than $X$ red elements, set $\mathcal{S}_X \leftarrow \{S_i \in \mathcal{S} : r(S_i) \leq X\}$.
2. If $1/|\mathcal{S}_X| < \mu\beta$, then return FAIL $\mathcal{S}_X$ is not feasible.
3. Set $Y = \sqrt{d/H(\mu|S|)}$
4. Identify the high degree red elements: $R_H \leftarrow \{r_i \in R : deg(r_i, \mathcal{S}_X) > Y\}$
5. Discard elements of $R_H$ in $\mathcal{S}_X$: $\mathcal{S}_{X,Y} \leftarrow \phi(\mathcal{S}_{X,Y}, R_X)$
6. Apply Algorithm 2 to $\mathcal{S}_{X,Y}$ and obtain a solution $\hat{S}_{X,Y}$ for it.
7. Add the dropped red elements back to obtain the corresponding result $\hat{S}_X$. $\mathcal{S}_X, \hat{S}_X$
8. For the set of blue elements $\hat{B}$ and red elements $\hat{R}$ respectively covered by $\hat{S}_X$, calculate the error rate $\hat{\epsilon} = \frac{|\hat{R}|}{|\hat{B}|}$ and return it and $\hat{S}_X$.

**Algorithm 4 Low Deg Partial 2**

**Input:** finite set $\mathcal{S} = \{S_1, \ldots, S_d\}, \mu \in (0,1]$ 

**Output:** optimal choice of $\mu$-partial cover solution and corresponding error rate $\hat{\epsilon}$

**Procedure:**
1. For $X=1$ to $|R|$ do:
2. Low Deg Partial(X)
3. Take the solution that yields the lowest error rate $\hat{\epsilon}$

**Theorem 4** Algorithm 4 solves the Partial Red-Blue Set Cover problem with an approximation ratio of $4\sqrt{d} \cdot H(\mu\beta)$
The proof of Theorem 4 is virtually identical to the proof of Theorem 3.5 of Peleg (and the proof Peleg’s Lemma 3.4), with our Lemma 2 replacing Lemma 3.2, and \(3H(\mu/\beta)\) replacing the original \(\log \beta\) approximation ratio.

**Using Partial Red-Blue Set Cover Algorithms for Exception-Tolerant Abduction**

We will now show that, given an appropriate number of examples, algorithms for the Partial Red-Blue Set Cover problem can be used to perform exception-tolerant abduction. We first recall the PAC-learning formulation of abduction proposed by Juba (2016).

**Learning of exception-tolerant \(k\)-DNF abduction**

The formulation of learning exception-tolerant abduction is as follows. Suppose there are \(n\) propositional attributes \(x_1, \ldots, x_n\), and we are given a query to be explained, a Boolean formula \(\varphi\) that may use our \(n\) propositional attributes as variables. We fix an alphabet \(A \subseteq \{x_1, \ldots, x_n\}\) of attributes we wish to allow in our explanations. For example, \(A\) may only contain the attributes that take values “before” the attributes used in the formula \(\varphi\) describing the event to be explained. We are also given as input \(m\) examples, \(x^{(1)}, \ldots, x^{(m)}\), drawn independently from a common, unknown distribution \(D\) over Boolean values for all of the \(n\) attributes. We are given a target plausibility threshold \(\mu \in (0, 1)\), and an integer \(k\) for the complexity of our solutions. Following Juba (2016), we will only seek to use \(k\)-DNFs as explanations; it seems that this is basically the most expressive natural class of formulas for which this task is tractable. Finally, we fix a tolerance \(\gamma \in (0, 1/3)\) indicating the amount of loss relative to the optimal plausibility we are willing to accept. Let

\[
e^* = \min_{k\text{-DNF } h \text{ on } A : \Pr[h(x) = 1] \geq \mu} \Pr[c(x) = 0 | h(x) = 1]
\]

be the optimal error rate achievable by a \(k\)-DNF using only attributes in \(A\) that is satisfied at least a \(\mu\)-fraction of the time on \(D\).

Our task is now to return a \(k\)-DNF \(h\) that uses only attributes in \(A\) such that with probability \(1 - \delta\) over the draw of \(x^{(1)}, \ldots, x^{(m)}\) from \(D\),

1. **Plausibility.** \(\Pr[h(x) = 1] \geq (1 - \gamma)\mu\) and
2. **Entailment.** \(\Pr[c(x) = 0 | h(x) = 1] \leq \alpha(n, 1/e^*, 1/\delta)e^*\)

where we say that \(\alpha(n, 1/e^*, 1/\delta)\) is the approximation ratio achieved by our algorithm.

Note that we are seeking to learn both the (approximate) entailment relation between the various hypotheses and the conclusion \(c\) and the degree of plausibility of the various hypotheses from the examples.

Our task is formally equivalent to finding a prediction rule \(h\) for \(c\) that achieves a positive classification rate of \((1 - \gamma)\mu\) and precision \(1 - \alpha e^*\), given that some other unknown rule \(h^*\) with a positive classification rate \(\mu\) achieves precision \(1 - e^*\).

\[
\text{Our algorithm can be easily extended to achieving recall (1 - \gamma)\mu and precision 1 - \alpha e* when a rule achieving recall \mu and precision 1 - e* exists—one simply only creates a blue element for the positive examples instead of all examples.}
\]

**Analysis of Partial Red-Blue Set Cover Algorithms for Learning Abduction**

We will now prove our main theorem, stating that Algorithm 4 can be used to perform exception-tolerant abduction with an approximation ratio of \(O(\sqrt{n^k \log m})\), where \(m = \Theta(\frac{1}{\gamma m \mu^2} (n^k + \log \frac{1}{\delta}))\) (in particular the factor of \(\mu\) in the approximation ratio cancels the factor of \(1/\mu\) in \(m\)).

**Theorem 5** Suppose we are given \(m = \Theta(\frac{1}{\gamma m \mu^2} (n^k + \log \frac{1}{\delta}))\) examples. Then Algorithm 4 can be used to solve the exception-tolerant abduction task in time polynomial in \(m\) and \(n^k\) with approximation ratio \(O(\sqrt{n^k \log m}) = O(\sqrt{n^k \log \frac{1}{\gamma^k m^2}})\).

To prove this theorem, we will need to argue that the “empirical” problem posed by a fixed training set provides a good approximation to the quality of a \(k\)-DNF explanation on the actual distribution of examples.

**Lemma 6** For any \(c : \{0, 1\}^n \rightarrow \{0, 1\}\), \(\delta \in (0, 1)\), and \(\gamma \in [0, 1/3]\), let \(x^{(1)}, \ldots, x^{(m)}\) be independently drawn from a common distribution \(D\) over \(\{0, 1\}^n\) for

\[
m \geq \frac{3(1 + \gamma)}{\gamma^2 (1-\gamma)\mu e^*} (\ln 2 \frac{2n}{k} + \ln \frac{4}{\delta})
\]

where \(e^*\) is the minimum (nonzero) \(\Pr[c(x) = 0 | h(x) = 1]\) over \(k\)-DNFs \(h\) with \(\Pr[h(x) = 1] \geq \mu\) for a given target \(\mu\).

Then with probability \(1 - \delta\) over the draw of \(x^{(1)}, \ldots, x^{(m)}\), if a \(k\)-DNF \(h\) is true on a \(\mu\) fraction of \(x^{(1)}, \ldots, x^{(m)}\) for \(\mu \geq (1 - \gamma)\mu\), we have

\[
(1 + \gamma) \Pr[h(x) = 1] \geq \hat{\mu} \geq (1 - \gamma) \Pr[h(x) = 1].
\]

If, furthermore \(\Pr[c(x) = 0 | h(x) = 1] \geq e^*\) and \(c(x^{(j)}) = 0\) for a \(\hat{\epsilon}\) fraction of \(\{x^{(j)} : h(x^{(j)}) = 1\}\), we have

\[
(1 - 2\gamma) \Pr[c(x) = 0 | h(x) = 1] \leq \hat{\epsilon} \\
\leq (1 + 3\gamma) \Pr[c(x) = 0 | h(x) = 1].
\]

So in short, for every \(k\)-DNF \(h\), one of three cases hold: either \(h\) is satisfied on too few examples to be considered (fewer than \((1 - \gamma)\mu\)), or \(h\) has error better than our target optimum \(e^*\) (over those \(h^*\) satisfied with probability at least \(\mu\)), or else we have good estimates of the error made by \(h\) at justifying \(c\).

This lemma is a straightforward consequence of the (multiplicative) Chernoff bound:

**Theorem 7 (Multiplicative Chernoff bound)** Let \(X_1, \ldots, X_m\) be independent random variables taking values in \([0, 1]\), such that \(\mathbb{E} \left[ \frac{1}{m} \sum_i X_i \right] = p\). Then for \(\gamma \in [0, 1]\),

\[
\Pr \left[ \frac{1}{m} \sum_i X_i > (1 + \gamma)p \right] \leq e^{-mp\gamma^2 / 3}
\]

and

\[
\Pr \left[ \frac{1}{m} \sum_i X_i < (1 - \gamma)p \right] \leq e^{-mp\gamma^2 / 2}
\]
Proof of Lemma 6: Let any $c$ and $k$-DNF $h$ be given. We will use the Chernoff bound to bound the probability that the sample substantially misrepresents either the probability that $h$ is satisfied or the probability of $h$ failing to entail $c$.

First, we observe that $h(x^{(1)}, \ldots, x^{(m)})$ indeed take values in $[0, 1]$. We will let $p(h)$ denote $E[h(x^{(j)})] = Pr[h(x) = 1]$. A first application of the Chernoff bound guarantees that for this $h$,

\[
Pr[\hat{\mu} > (1 + \gamma)p(h)] \leq e^{-mp(h)\gamma^2/3} \quad \text{and} \quad Pr[\hat{\mu} < (1 - \gamma)p(h)] \leq e^{-mp(h)\gamma^2/2}.
\]

We also note that there are $2^{2^n}$ $k$-DNFs. Thus we find by a union bound over all of the $k$-DNF that the probability of these bounds failing is $2^{2^n} \cdot e^{-mp(h)\gamma^2/3}$. So in particular, with probability $1 - \delta/2$, any $h$ with $p(h) < \frac{1 - \gamma}{1 + \gamma} \mu$ has $\hat{\mu} < (1 - \gamma)\mu$, and otherwise, $\hat{\mu}$ is a suitable estimate of $p(h)$.

Likewise, the indicator functions $I[h(x^{(j)}) = 1 \land c(x^{(j)}) = 0]$ also take values in $[0, 1]$ and we will let $\varepsilon(h)$ denote

\[
E[I[h(x^{(j)}) = 1 \land c(x^{(j)}) = 0]] = Pr[h(x) = 1 \land c(x) = 0].
\]

We also note that $\hat{\varepsilon} = \frac{1}{\mu} \sum_{j=1}^{m} I[h(x^{(j)}) = 1 \land c(x^{(j)}) = 0]$. So, a second application of the Chernoff bound guarantees that $h$ also satisfies

\[
Pr[\hat{\varepsilon}\hat{\mu} > (1 + \gamma)e(h)] \leq e^{-m\varepsilon(h)\gamma^2/3} \quad \text{and} \quad Pr[\hat{\varepsilon}\hat{\mu} < (1 - \gamma)e(h)] \leq e^{-m\varepsilon(h)\gamma^2/2}.
\]

Now, we note that for $h$ with $p(h) > \frac{1 - \gamma}{1 + \gamma} \mu$, either $Pr[c(x) = 0|h(x) = 1] \leq \varepsilon^*$ or else $\varepsilon(h) \geq \frac{1 - \gamma}{1 + \gamma} \mu \varepsilon^*$. Thus, by another union bound over these two inequalities and all suitable $k$-DNFs, the probability of any of these bounds failing is at most $2 \cdot 2^{2^n} \cdot e^{-m\varepsilon(h)\gamma^2/3}$. Thus, now, for the claimed $m$, with probability $1 - \delta$ all of these bounds simultaneously hold, and we additionally get

\[
1 - \gamma \varepsilon(h) \leq \hat{\varepsilon} \leq 1 + \gamma \varepsilon(h).
\]

Of course, $\varepsilon(h)/p(h) = Pr_{x \in D}[c(x) = 0|h(x) = 1]$ and $\frac{1 - \gamma}{1 + \gamma} \leq 1 + 3\gamma$ since $\gamma < 1/3$. □

We are now ready to prove our main theorem.

Proof of Theorem 5: We produce the following instance of Partial Red-Blue Set Cover: we create a blue element for each example $x^{(1)}, \ldots, x^{(m)}$, create a red element for each example $x^{(j)}$ such that $c(x^{(j)}) = 0$, and create a set for each term of size $k$ using attributes in $A$ containing each blue element such that the corresponding $x^{(j)}$ satisfies that term. Let $\hat{\varepsilon}^*$ be the smallest fraction of red elements covered by any family of these sets that covers at least $(1 - \gamma/2)\mu m$ blue elements (i.e., examples). Note that there are $m$ blue elements and $\binom{2^n}{k}$ sets.

Theorem 4 then establishes that Algorithm 4 run on this instance with parameter $(1 - \gamma/2)\mu$ returns a set $S$ of terms of size $k$ using attributes in $A$ such that:

1. $\hat{\mu}m \geq (1 - \gamma/2)\mu m$ elements are satisfied by some term in $S$.
2. The number of $x^{(j)}$ such that $c(x^{(j)}) = 0$ that are satisfied

\[
\text{by any term in } S \text{ is at most } 4\sqrt{\binom{2^n}{k}} H(\mu m) e^* \hat{\mu}m.
\]

Consider any $k$-DNF $h^*$ with $Pr[h^*(x) = 1] = \mu$ that achieves $Pr[c(x) = 0|h^*(x) = 0] = e^*$. Lemma 6 now guarantees that if we use $\gamma/2$ as our tolerance parameter, $h^*$ is satisfied on at least $(1 - \gamma/2)\mu m$ elements, and at most $(1 + 3\gamma/2)\mu e^*$ examples that satisfy $h^*$ also have $c(x^{(j)}) = 0$. Therefore, $\hat{e}^* \leq (1 + 3\gamma/2)\mu e^*$, and Algorithm 4 must find a family of sets corresponding to a $k$-DNF $h$ such that at most $4(1 + 3\gamma/2)\sqrt{\binom{2^n}{k}} H(\mu m) e^*$ fraction of examples satisfy $h$ but not $c$.

Now, since Algorithm 4 must return a $k$-DNF $h$ that satisfies at least $(1 - \gamma/2)\mu m$ elements, Lemma 6 also guarantees that actually with probability $1 - \delta$, $Pr[h(x) = 1] \geq (1 - \gamma/2)\mu m \geq (1 - \gamma)\mu m$ and, using the fact that $\gamma \leq 1/3$ and the standard bounds $H(x) \leq 1 + \ln x$ and $(\frac{n}{k}) \leq (\frac{ne}{\pi})^k$, where $e$ is the base of the natural logarithm,

\[
Pr[c(x) = 0|h(x) = 1] \leq (2 + 3\gamma)^2 \sqrt{\binom{2^n}{k}} H(\mu m) e^* \leq 9 \sqrt{\frac{2en}{k}} (1 + \ln \mu m) e^*.
\]

We can further bound this expression by using that

\[
m = \Theta \left( \frac{1}{\gamma^2 \mu e^* \left( \binom{2^n}{k} + \log \frac{1}{\delta} \right) } \right).
\]

We thus find that it is $O(\sqrt{\log 2} \log \frac{2 + \log 1/8}{\gamma^2 \mu e^*})$ as claimed. We find furthermore by inspection that the algorithm indeed runs in time polynomial in $m$ and $n^k$ since all of the parameters -- the number of red and blue elements, the number of sets, and the degree of each element -- can be bounded by such polynomials. □

A toy example

To better understand the algorithm, we now consider an example. Suppose that we have the following set of examples:

<table>
<thead>
<tr>
<th>Event #</th>
<th>Wet Clothes</th>
<th>Raining</th>
<th>Sleep Well</th>
<th>Inside</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>yes</td>
<td>yes</td>
<td>no</td>
<td>no</td>
</tr>
<tr>
<td>2</td>
<td>no</td>
<td>no</td>
<td>yes</td>
<td>no</td>
</tr>
<tr>
<td>3</td>
<td>yes</td>
<td>yes</td>
<td>yes</td>
<td>no</td>
</tr>
<tr>
<td>4</td>
<td>no</td>
<td>yes</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>5</td>
<td>no</td>
<td>yes</td>
<td>yes</td>
<td>yes</td>
</tr>
<tr>
<td>6</td>
<td>yes</td>
<td>yes</td>
<td>no</td>
<td>no</td>
</tr>
<tr>
<td>7</td>
<td>no</td>
<td>no</td>
<td>yes</td>
<td>yes</td>
</tr>
</tbody>
</table>

Suppose that we want to propose a reason that clothes become wet. We can translate this small data set into a Partial Red-Blue Set Cover problem as shown in Figure 1.

In particular, suppose that we are in Algorithm 4, with error tolerance $X = 2$ and $\mu = 6/7$. Then in Algorithm 3, first in Step 3.1, we will discard sets in $S$ that contains more than $X = 2$ red elements. Thus we obtain $S_X = \{\text{‘raining’}, \text{‘not raining’}, \text{‘not sleep well’}, \text{‘not inside’} \}$, as illustrated in Figure 2. Notice that this removes the connection between red elements and discarded collections.
Next, in Step 3.2, we check if the whole set \( S_X \) contains enough blue elements for our objective value \( \mu \). This \( S_X \) indeed contain enough blue elements. The “degree bound” \( Y \) calculated in Step 3.3 is \( Y = 1.5212 \). For Step 3.4, we will identify the “high degree” red elements and create another set \( S_{X,Y} \) that does’t have these high degree red elements. For this example, red element #2 is considered to be a high degree red element. Intuitively, we drop these high degree elements because we consider it likely that we will end up including these points sooner or later, so we don’t want to penalize sets for containing them. We only want to “charge” a set for the “unusual” (low degree) red elements it contains. Finally we run the greedy algorithm on \( S_{X,Y} \) to obtain a solution \( \hat{S}_{X,Y} \). We then add back the dropped red elements. The result, \( \hat{S}_X \) might be \{‘not inside’, ‘raining’\}, corresponding to the 1-DNF ‘not inside’ \( \lor \) ‘raining’. It covers blue elements \( \{1, 2, 3, 4, 5, 6\} \), i.e., it is satisfied on the corresponding examples, and its error rate is 0.5.

**Empirical Evaluation**

So far, we have proposed a new algorithm for exception-tolerant abduction and proved a better worst-case approximation guarantee for this algorithm than was known for the Tolerant Elimination algorithm proposed for this task by Juba (2016). Although such worst-case guarantees are desirable, they do not rule out the possibility that Tolerant Elimination might still obtain results as good or better than our new algorithm on various actual distributions. So, we have investigated the performance of the two algorithms on a couple simple synthetic domains.

The first domain is an example of an “ideal” situation for our algorithms: here, the target condition \( c \) is generated by a hidden \( k \)-DNF that has been corrupted by some independent random noise. Ideally, the algorithms should obtain a hypothesis that is satisfied with approximately the same probability (less the noise) that the hidden rule would be satisfied, and with an error rate that is approximately the noise rate. The second domain is an example of the challenging situation that we hope our algorithms can cope with. The target condition \( c \) is generated by a random linear threshold function, i.e., a random (centered) halfspace of the Boolean cube. \( k \)-DNF formulas cannot approximate such rules well,\(^3\) so we can only hope to obtain a low error rate by choosing a hypothesis that is satisfied relatively rarely. That is, this is a domain in which the “errors” are highly regular, but the rules we wish to explain is simply too complex for the representations we use. It therefore tests the capacity for our algorithms to propose a reasonable hypothesis under relatively unfavorable circumstances.

In the second domain, we also tested a simpler greedy covering algorithm that orders the terms by their empirical error rates, and simply adds terms to the \( k \)-DNF until it has covered the desired empirical fraction of the data. This method is intended as a baseline. It does not feature the same theoretical guarantees as our new algorithm.

**Noisy planted \( k \)-DNF**

Here, we first choose a \( k \)-DNF of a fixed size \( s(k) \) by selecting \( s(k) \) terms uniformly at random (with replacement) from the terms of size \( k \). \( s(k) \) was selected to be relatively large while keeping the probability of the \( k \)-DNF being satisfied around 99%, so that we can sample both satisfying and falsifying assignments relatively easily: here, we take \( s(1) = 6 \) and \( s(2) = 16 \). Once this “planted” \( k \)-DNF \( \varphi \) is fixed, we take the distribution \( D \) to generate a uniform satisfying assignment of \( \varphi \) with probability \( .15 \), and a uniform falsifying assignment of \( \varphi \) with probability \( .85 \). We can sample from \( D \) using simple rejection sampling: we draw a uniform random example, and if it satisfies \( \varphi \), we independently restart (rejecting the example) with probability \( \alpha(\varphi) \) so that we obtain the desired ratio of satisfying and falsifying examples. In our experiments, we used 100 attributes and generated 10

\(^3\)This is not obvious, but O’Donnell and Wimmer obtain such a result for the simple majority function (O’Donnell and Wimmer 2007), where our threshold functions are a random rotation, which have similar “influences” and are similarly hard for \( k \)-DNFs to approximate. See O’Donnell (2014, Chapters 4–5) for more.
formulas for each $k$. We then generated 50,000 examples for each formula, a typical size training set.

For each example $x$, we independently chose whether to put $c(x) = \varphi(x)$ with 95% probability, or to put $c(x) \neq \varphi(x)$ with 5% probability. That is, there is a noise rate of 5%. So, we know that the hidden $\varphi$ agrees with $c$ except on a random $\approx 5\%$ of examples. Therefore $\varphi$ itself, at least, is a $k$-DNF that (1) explains approximately $0.95 \cdot 0.15$ fraction of examples drawn from this distribution and (2) $c$ only fails to hold on $5\%$ of the examples drawn from this distribution on which $\varphi$ is satisfied. We supplied these labeled examples to each of the algorithms, and to estimate the error of the hypothesis the algorithms produced, drew another data set using the same planted $\varphi$ of the same size and computed the error on this new data set. We repeated this process 10 times each with independently sampled $\varphi$, to get an estimate of the distribution of error rates for these algorithms. We supplied Tolerant Elimination the actual noise parameter of 5% and we supplied the Low-Degree algorithm with the actual fraction, 14.25%, of the data that we expect the planted $\varphi$ itself, at least, is a $k$-DNF setting where the terms with lower error rates are terms of the hidden $\varphi$. We then generated 10 different linear threshold rules from this distribution, and generated 10,000 examples uniformly at random for 1-DNF and 2-DNF, and 6,000 examples for 3-DNF. We ran the algorithms on these training sets, giving the low-degree and naive greedy (baseline) algorithms $\mu = 10\%$, 30\%, 50\%, 70\%, 90\% and 100\%, and giving tolerant elimination a variety of different target error rates; only $\epsilon = 16\%$ for 2-DNF had any nontrivial effect. We then generated 7,500 additional uniform random examples for 1-DNF and 2-DNF to serve as a test set, and 4,500 examples for 3-DNF. We evaluated the quality of the hypothesis produced for each training set on these test sets; the results of this evaluation appear in Figure 3.

We make three observations about the results of this experiment. First, the results illuminate a striking weakness of the Tolerant Elimination algorithm. The algorithm is forced to pick a threshold error rate that it uses to select whether or not to include a term in its hypothesis. While this works relatively well in the noisy $k$-DNF setting where the terms with lower error rates are terms of the hidden $k$-DNF, it fails badly here, forcing the $k$-DNF to pick many or few terms. For example, the best we can do for 1-DNF is essentially $n = 20$ attributes (on account of the exploding number of terms of size 3). For each example $x \in \{0, 1\}^n$, we convert $x \in \{0, 1\}$ to $y \in \{-1, 1\}$ (e.g., using $y_i = 2x_i - 1$); we then put $c(x)$ equal to $[\theta, y] \geq 0$, i.e., 1 if the inequality holds and 0 otherwise. We generated 10 different linear threshold rules from this distribution, and generated 10,000 examples uniformly at random for 1-DNF and 2-DNF, and 6,000 examples for 3-DNF. We ran the algorithms on these training sets, giving the low-degree and naive greedy (baseline) algorithms $\mu = 10\%$, 30\%, 50\%, 70\%, 90\% and 100\%, and giving tolerant elimination a variety of different target error rates; only $\epsilon = 16\%$ for 2-DNF had any nontrivial effect. We then generated 7,500 additional uniform random examples for 1-DNF and 2-DNF to serve as a test set, and 4,500 examples for 3-DNF. We evaluated the quality of the hypothesis produced for each training set on these test sets; the results of this evaluation appear in Figure 3.

We make three observations about the results of this experiment. First, the results illuminate a striking weakness of the Tolerant Elimination algorithm. The algorithm is forced to pick a threshold error rate that it uses to select whether or not to include a term in its hypothesis. While this works relatively well in the noisy $k$-DNF setting where the terms with lower error rates are terms of the hidden $k$-DNF, it fails badly here, forcing the $k$-DNF to pick many or few terms. For example, the best we can do for 1-DNF is essentially to use the literal corresponding to the largest weight component of the linear threshold rule. (This is what both the low-degree and naive greedy algorithms produce to explain 50\% of the data.) But, there are many literals with essentially similar weights, and each additional literal that is selected, the hypothesis picks up half of the remaining possible examples. It is very difficult to discover an “ideal” setting for the tolerance, and in our experiments the algorithm always selected a hypothesis that was not substantially better than the trivial hypothesis that is always satisfied—both achieved
error rates of \( \approx 50\% \).

The second observation is that by contrast, both our low-degree partial cover algorithm and the naive greedy baseline algorithm obtained significantly lower error rates. That is, both algorithms were reliably able to successfully infer non-trivial rules in this challenging domain. In general, we obtained (as one would expect) a trade-off between the probability that the generated hypothesis was satisfied and its error rate. Also, from \( k = 1 \) to \( k = 2 \), the error rate we obtain for the same fraction decreases (but note that the data we used for 3-DNF had far fewer attributes, and hence is inherently easier to approximate and is not comparable to \( k = 1, 2 \)).

Third and finally, the low-degree partial covering algorithm generally had a consistent, small advantage over the naive greedy baseline. Naturally, they performed essentially identically at the lowest and highest coverage rates as one would expect—at the lowest target coverage, both generally chose the best single term, and there is only one error rate for covering 100% of the data. Outside these extremes, recall that both algorithms were given the same target fractions: for the points at each threshold for the low-degree algorithm, the points for the corresponding thresholds for the baseline algorithm generally covered a larger fraction than necessary (shifted to the right) and suffered slightly greater error rates (shifted up). This matches our intuition that the low-degree algorithm works by discounting the points that are shared by many terms (that are likely to be chosen). Again, we stress that the baseline method also does not feature the same approximation guarantee as the low-degree algorithm.

Conclusions and Open Problems

We have exhibited an algorithm for the exception-tolerant variant of the learning abductive reasoning task introduced by Juba (2016). This new algorithm both achieves a substantially better error guarantee and performs substantially better on some challenging synthetic data tasks. A natural question is how much scope remains to improve algorithms for this task. This question is wide open.

As a point of comparison, consider the standard agnostic supervised learning task in which our objective is merely to minimize classification errors. The best known algorithm for agnostic learning of \( k \)-DNF, due to Awasthi, Blum, and Sheffet (2010) can achieve an approximation ratio of \( n^{k/3+o(1)} \). By contrast, we only know that agnostic learning of \( k \)-DNF with additive error is intractable (an approximation ratio of \( \approx 1 \)). Even for agnostic learning of the much richer class of halfspaces, we only know that the task is intractable up to a ratio of \( 2^{\log^{1-\lambda} n} \) for \( \lambda > 0 \), which is still sub-polynomial, that is, less than any \( n^{1/r} \) (Daniely 2016).

Now, if we restrict the form of the hypothesis to a \( k \)-DNF, it is likely that we can say much more; by contrast, the above results hold for the improper variant of the problem in which we do not restrict the form of the returned hypothesis. Again, taking agnostic supervised learning of \( k \)-DNFs as a point of comparison, Feldman (2006) was able to show that finding a 1-DNF that obtains a \( 2^{\sqrt{\log n}} \) approximation ratio is intractable. Even so, again, a gap remains between these sub-polynomial approximation ratios for which we believe that the problem is intractable, and for the polynomial approximation ratios for which we possess algorithms.

Acknowledgements

We thank the reviewers for their constructive comments. B. Juba is supported by an AFOSR Young Investigator Award.

Appendix: Analysis of Partial Set Cover

We now give an overview of the proof of Theorem 1, the approximation guarantee achieved by Algorithm 1. We stress that while our proof is an extension of Theorem 4 of Slavik (1997), our objective is different: we are seeking to minimize the ratio of the cost to the size of the cover, among all covers that include a \( \mu \)-fraction of the universe. Let \( A = \{A_1, \ldots, A_k\} \) be a cover that attains this minimum value and denote by \( c_{\min} \) its cost. \( \sum_{S} \omega(A_s) \). We thus have, for our universe of size \( \beta, \sum_{s \in \beta} |A_s| \geq \lceil \beta \rceil \). Let \( g_{\text{greedy}} \) be the cost obtained by Algorithm 1, and suppose that the cover returned includes \( k \) sets. Let \( r(i) \) be the number of elements remaining to be covered after the \( i \)th iteration of the algorithm, and let \( A_s(i) \) and \( T_j(i) \) denote the sets \( A_s \) and \( T_j \) after the \( i \)th iteration, i.e., after the elements from the sets \( T_1, \ldots, T_i \) chosen by Algorithm 1 on all previous iterations have been removed from them.

Now, in iteration \( i+1 \), the greedy algorithm chooses some set \( j \) for which \( c_j/T_{j+1} \) is minimized. Therefore,

\[
\frac{\omega(T_{j+1})}{|T_{j+1}|} \leq \frac{\omega(A_s)}{|A_s|} \quad \text{for } s = 1, \ldots, \ell, \text{ for which } A_s(i) \neq \emptyset.
\]

More generally, for a given, arbitrary collection of sets \( S \), we can define a greedy ordering of the sets in \( S \), analogous to our greedy algorithm. We denote sets in the initial collection by \( S_i(0) \) (letting \( i = 1, \ldots, |S| \)), and put \( S_1 \) equal to some first set minimizing the ratio \( \frac{\omega(S)}{|S|} \). Then, given inductively that we have chosen the ordering up to \( j \), we put each \( S_i(j) = S_i(j-1) \setminus S_j \), i.e., equal to the elements of \( S_i(0) \) that are still uncovered by the partial collection up to \( j \), and take \( S_{j+1} \) to be the first set minimizing the ratio \( \frac{\omega(S_{j+1})}{|S_{j+1}|} \).

We observe that the final ratio achieved by the collection \( S \) is a weighted average of these ratios:

\[
\frac{\sum_j \omega(S_j)}{|U_j S_j|} = \sum_i \frac{|S_i(j)|}{|U_j S_j|} \frac{\omega(S_{i+1})}{|S_{i+1}|}
\]

where \( \sum_i \frac{|S_i(j)|}{|U_j S_i|} \frac{1}{|S_{i+1}|} = 1 \). We also observe that for \( j < k \),

\[
\frac{\omega(S_i)}{|S_i|} < \frac{\omega(S_i)}{|S_{i+1}|}.
\]

Lemma 8 There is an optimal cover in which only the final set in any greedy ordering may contain more than \( \mu \beta \) elements, and the collection of all prior sets covers fewer than \( \mu \beta \) elements.
**Proof:** Consider any optimal cover and any greedy ordering of this cover. Since for $j < k$, $\frac{\omega(A_j)}{|A_j|} < \frac{\omega(A_k)}{|A_k|}$, if a set is not chosen before the first set by which $\mu \beta$ elements have been covered, then its ratio will always be at least as large as that of the set for the index at which the given cover contains $\mu \beta$ elements, as well as all previous sets in the ordering. Since the overall ratio achieved by the cover is an averaging of these ratios, eliminating sets that appear in the ordering after this point can only improve the ratio achieved by the cover.

Now consider all fractions of the form $\frac{\omega(A_s)}{T_s}$ for $s = 1, \ldots, \ell$ and $k_s = 1, \ldots, |A_s|$. Note that there are at least $|\mu \beta| = r(0)$ such fractions. Suppose we arrange these fractions into a nonincreasing sequence $e_1 \geq e_2 \geq \cdots \geq e_r(0) \geq \cdots$. Closely following Slavík, we then obtain the following inequalities.

**Lemma 9 (c.f. Lemma 1 of Slavík (1997))** For $i = 0, \ldots, k - 1$, $\frac{\omega(A_i)}{|A_i|} \leq e_r(i)$.

**Lemma 10 (c.f. Lemma 2 of Slavík (1997))** $c_{\text{greedy}} \leq \sum_{s=1}^{k-1} \omega(A_s) H(|A_s|) + \omega(A_k) H(\min\{\mu \beta, |A_k|\})$

We now handle the special case in which every optimal cover is dominated by a single, large set:

**Lemma 11** If every optimal cover contains more than $3|\mu \beta|$ elements and is more than three times the size of the greedy algorithm’s cover, then the greedy algorithm achieves an approximation ratio of 3.

**Proof:** We observe that in the first case, by Lemma 8, the optimal ratio is at least $2\frac{\omega(A_i)}{|A_i|}$ since the final set contributes at least $2/3$ of the final ratio. Furthermore, since the greedy algorithm’s cover contains at most $1/2$ of $A_i(0)$, we know that the ratios of the sets selected by the greedy algorithm are all at most $2\frac{\omega(A_i)}{|A_i|}$. Since, again, the greedy algorithm’s ratio is a weighted average of these individual ratios, the final ratio is also at most $2\frac{\omega(A_i)}{|A_i|}$, and hence at most three times larger than the optimal ratio.

Finally, we can prove Theorem 1

**Proof of Theorem 1:** Fix a smallest optimal cover. Lemma 8 implies that $|A_s| \leq |\mu \beta|$ for all $s = 1, \ldots, \ell - 1$. This, and Lemma 10 establish that $c_{\text{greedy}} \leq H(|\mu \beta|) \epsilon_{\text{min}}$. Now, if the optimal cover contains fewer than $3|\mu \beta|$ elements, since the greedy algorithm must return a cover with at least $|\mu \beta|$ elements, a ratio of $3H(|\mu \beta|)$ is immediate in this case. More generally, if the optimal cover contains at most three times as many elements as the cover returned by the greedy algorithm the ratio is again $3H(|\mu \beta|)$. Finally, Lemma 11 guarantees that if neither of these cases hold, we still obtain a ratio of $3 \leq 3H(|\mu \beta|)$.

**References**


